

FORM PTO-1449		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE			ATTY DKT NO. B215 1010.1		SERIAL NO. 09/552,576	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT					APPLICANT Oswald and Green			
					FILING DATE 04/19/2000		GROUP 1724	
U.S. PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CL.	SUBCL.	FILING DATE IF APPROP.	
FOREIGN PATENT DOCUMENTS								
		DOCUMENT NO.	DATE	COUNTRY	CL.	SUBCL.	TRANSLATION	
OTHER DOCUMENTS (Incl. Author, Title, Date, Pertinent pages, etc.)								
	D1	Oswald, W.J. <i>et al.</i> , "Performance of Methane Fermentation Pits in Advanced Integrated Wastewater Pond Systems," <i>Wat. Sci. Tech.</i> , 30(12): 287-295 (1994).						
	D2							
	D3							
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	D6							
	D7							
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	D9							
	D10							
EXAMINER		CHESTERT T. BARRY			DATE CONSIDERED		3/31/05	
		PRIMARY EXAMINER						
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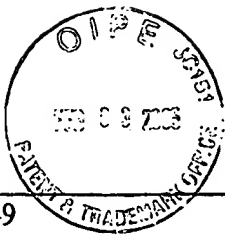
D1	Oswald, W.J., "Advances in Anaerobic Pond Systems Design," <u>Advances in Water Quality Improvement</u> , eds. E.F. Gloyna and W.W. Eckenfelder, University of Texas Press (1968).
D2	
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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(Use several sheets if necessary)

Attorney Docket No.
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Applicant
Oswald and Green

Filing Date
April 19, 2000

Group
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U.S. PATENT DOCUMENTS

Examiner Initials	Item	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

D1	Dugan, G.L. and Oswald, William J. (1968). "Mechanisms of Anaerobic Waste Treatment." Presented at the Symposium on Potato Waste Treatment, University of Idaho, (March 8, 1968), p. 15.
D2	Green, F.B., "The Energetics of Advanced Integrated Wastewater Pond Systems," Doctoral Dissertation, University of California at Berkeley, May, 1998.
D3	Hall, Thomas. W., Oswald, W.J., Moore, J.M., and Chen, P.H. (1981). "Recovery of Methane from Facultative Sewage Ponds," Report to the University of California Appropriate Technology Program, Project #80-89-4000, Sanitary Engineering and Environmental Health Research Laboratory, University of California, Berkeley, pp. 31.
D4	Oswald, William J. (1960). "Stabilization and Pond Research and Installation Experiences in California," In: <i>Proceedings of the Symposium on Waste Stabilization Lagoons</i>, Kansas City, Missouri, August 1-5, 1960, pp. 11.
D5	Oswald, William J. and Golueke, C.G. (1960). "Biological Transformations of Solar Energy," In: <i>Advances in Applied Microbiology</i>, Vol. 2, ed. W.W. Umbreit, Academic Press, Inc., 111 5th Avenue, New York, New York, pp. 42.
D6	Oswald, William J., Golueke, C.G., Cooper, R.C., Gee, H.K., and Bronson, J.C. (1963). "Water reclamation, algal production and methane fermentation in waste ponds," <i>International Journal of Air and Water Pollution</i>, Vol 7, pp 627-648. Also in: <i>International Conference on Water Pollution Research</i>, London, September 1962, Pergamon Press, Oxford, (1964).

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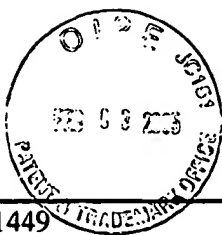
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D7	Oswald, William J. (1964). "Advances in stabilization pond design," In: <i>Proceeding of the Third Sanitary Engineering Conference</i> , Vanderbilt University, Nashville, Tennessee, May 25-26, 1964, pp. 18.
D8	Oswald, William J. (1964). "Feasibility of Deep Ponds for the Dan Region Project," in consultation with Tahal Water Planning for Israel Ltd., Dan Region Sewage Reclamation Project, October 9, 1964, pp. 50.
D9	Oswald, William J. (1968). "Advances in Anaerobic Pond Systems Design," In: <i>Advances in Water Quality Improvement</i> , ed. E.F. Gloyna and W.W. Eckenfelder, Jr., University of Texas Press, pp. 409-426.
D10	Oswald, William J. and Ramani, R. (1976). "The Fate of Algae in Receiving Waters," In: <i>Ponds as a Wastewater Treatment Alternative</i> , Eds. Gloyna, E.F. et al., Water Resource Symposium Number Nine, Center for Research in Water Resources, College of Engineering, The University of Texas at Austin, pp. 111-121.
D11	Oswald, William J. (1977). "Municipal Sewage Waste Treatment with Microalgae," In: <i>Proceedings of the Regional Seminar on Microbial Conversion Systems for Food and Fodder Production and Waste Management</i> , Kuwait Institute for Scientific Research (KISR) and Kuwait University, November 12-17, 1977, Kuwait, pp. 213-234.
D12	Oswald, W.J. (1983). "Report On Site Visit To Ahmedabad Experimental Waste Treatment Facilities With Comments On Design Of Extended Aeration Experiments, Proposed Aerated Lagoon System, And Two Possible Alternative Ponding Systems." Report to IBRD, December 1983, 6 tables, 14 figures, pp. 54.
D13	Oswald, William J. (1985). "Advanced Algal Bacterial Systems for Liquid Waste Treatment and Disposal," In: <i>Proceedings of the Second National Symposium on New Proteins and Underexploited Resources</i> , sponsored by Instituto Nacional de Investigacao das Pescas, Lisbon, Portugal, Technical Paper read at the Second National Symposium on New Proteins and Underexploited Resources, Lisbon, Portugal, pp.13.
D14	Oswald, William J. (1986). "The Role of Microalgae in Liquid Waste Treatment and Reclamation," In: <i>Algae and Human Affairs</i> , C.A. Lembi and J.R. Waaland, eds., Cambridge University Press, 32 E. 57th St., New York, New York 10022.

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D15	Oswald, William J. (1987). "Preliminary Design Advanced Integrated Ponding System for Izmir, Turkey," pp. 104.
D16	Oswald, William J. (1988). "Micro-algae and waste-water treatment" In: <i>Micro-algal Biotechnology</i> , Borowitzka and Borowitzka, (eds.), Cambridge University Press, U.K., pp. 305-328.
D17	Oswald, William J. (1988). "Large-scale algal culture systems (engineering aspects)" In: <i>Micro-algal Biotechnology</i> , Borowitzka and Borowitzka, (eds.), Cambridge University Press, U.K., pp. 356-394.
D18	Oswald, William J. (1994). "A Syllabus of Advanced Integrated Pond Systems," University of California at Berkeley
D19	Swanson Oswald Associates, Inc. (1980). "Evaluation and Report of Biomass to Energy Conversion Proposal by Livestock Systems Management," by Roy Sharp, Tulare, California. Prepared for the California Energy Commission, December 1980, pp. 53.
D20	Swanson Oswald Associates, Inc. (1985). "Wastewater Management Study -- City of St. Helena," Engineering report for the City of St. Helena, California, pp. 53.
D21	Von Hippel, David F. and Oswald, W.J. (1985). <i>Collection of Methane Evolved from Waste Treatment Ponds</i> , UCB/SEEHRL Report 85-11, University of California, Berkeley, California, pp. 32.
D22	Von Hippel, David F. and Oswald, W.J. (1989). "Heating Facultative Ponds To Increase Rates of Gas Evolution: A Step in the Integration of Waste-Treatment and Biomass Energy Production Using Microalgae," Report to the University of California Universitywide Energy Research Group, Sanitary Engineering and Environmental Health Research Laboratory (SEEHRL) Report No. 89-3, College of Engineering and School of Public Health, University of California, Berkeley, CA 94720, pp. 43.

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